Chinese Scope: an experimental investigation
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Abstract. The current study tests the status of Mandarin Chinese inverse scope by focusing on the interpretations available for sentences where the quantifier ‘one/a’ scopes over ‘every’ at surface structure. By comparing the responses from native speakers of Chinese and native speakers of English, we show that Chinese in fact does not allow inverse scope in doubly-quantified sentences (contra Zhou and Gao, 2009). Further, our results 1) suggest that the Chinese prohibition on inverse scope does not straightforwardly emerge from numeral semantics or bi-clausal structure, 2) demonstrate that in English the numeral one yields a strong specificity inference in subject position (cf. the Single Reference Principle of Kurtzman and MacDonald, 1993), and 3) confirm the permissibility of reconstruction in English relative clauses (cf. Aoun and Li, 2003), therefore providing support for a head-raising analysis of these constructions.

Keywords: quantifier scope, Chinese, relative clauses, reconstruction

1. Introduction

Quantifier scope ambiguities feature prominently in many theories of the syntax-semantics interface, owing to the direct translation from structure to meaning that generates the candidate readings. In English, doubly-quantified sentences, i.e., sentences with two quantifier phrases, readily admit such ambiguities (May, 1977, 1985). For instance, the sentence in (1) is ambiguous between a “surface” scope reading, (1-a), and an “inverse” scope reading, (1-b). In the former, the surface word order corresponds to the scope relation at Logical Form (LF), whereas in the latter the reading derives from inverting the LF scope of the subject and object quantifiers. A similar ambiguity is observed for (2), where the linear order of the two quantifiers is reversed. The inverse scope reading is attributed by May to QR, an operation occurring at the level of LF.¹

(1) Every shark attacked a pirate.
   a. Surface scope (every > a):
      for every shark there is a (possibly different) pirate that it attacked
   b. Inverse scope (a > every):
      there is one pirate that every shark attacked

(2) A shark attacked every pirate.
   a. Surface scope (a > every):
      there is one shark that attacked every pirate
   b. Inverse scope (every > a):
      for every pirate there is a (possibly different) shark that attacked him

¹A popular alternative approach to QR for the wide-scope behavior of indefinites is based on choice functions (Kratzer, 1998; Reinhart, 1997; Winter, 1997). For our purposes, the mechanisms deriving scope ambiguities are not directly relevant; we assume QR for perspicuity.
The two readings of (1) are not logically independent of each other. Reinhart (1976, 1997), Cooper (1979), and in particular (Ruys, 1992, ch. 1) point out that while the surface scope reading in (1-a) does not entail the inverse scope reading in (1-b), (1-b) does entail (1-a): if there is a single pirate that every shark attacked, it is necessarily the case that every shark attacked a pirate, albeit the same one. In other words, a scenario with a single pirate being attacked is compatible with both readings of (1). A similar entailment pattern holds in the case of (2), but here it is the surface scope reading, (2-a), that entails the inverse scope, (2-b): if there is a single shark that attacked every pirate, it is trivially true that for every pirate there is a shark that attacked him. Thus, a scenario with a single shark is compatible with both scope interpretations of (2).²

Although QR and related scope phenomena are robustly attested in English, not all languages exhibit QR and the corresponding scope ambiguities. Of interest to our current study is the status of scope ambiguities in Chinese. It is widely held that sentences with more than one quantifier phrase in Chinese are generally unambiguous, admitting only a surface scope interpretation (Aoun and Li, 1989, 2003; Huang, 1982; Lee, 1986; Huang, 1981, but see Section 4 for a fuller discussion of the facts). The observed scope rigidity of quantifiers, i.e., the absence of inverse scope readings, finds a theoretical description in the Isomorphic Principle of Aoun and Li (1989):

(3) *The Isomorphic Principle* (Aoun and Li, 1989, pg. 142):

Suppose A and B are quantifier phrases. Then if A c-commands B at S-Structure, A c-commands B at LF.

For a concrete example of the Isomorphic Principle at work, consider the sentence in (4).³

(4) you yi-tiao-shayu gongji-le mei(-yi)-ge-haidao
    ‘One/a shark attacked every pirate.’

As discussed above, when an existential quantifier linearly precedes a universal quantifier like in (4), the surface scope reading entails the inverse scope reading. Thus, a scenario in which a single shark attacks the relevant pirates is compatible with both a surface and inverse interpretation of (4); such a scenario consequently gives no clues to the permissible scope relations in Chinese. However, a scenario in which there are multiple sharks attacking pirates corresponds only to the inverse interpretation of (4). This reading would result from QR of the object (‘every pirate’) over

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²Uli Sauerland (p.c.) notes that without an existence presupposition on *every* there are scenarios where the entailment relation from *every* > *a* to *a* > *every* fails. In (1) and (2) such a scenario would feature no sharks and no pirates. With *every* taking wide scope the sentence would be vacuously true; with *a* taking scope the sentence would be false. This is a viable theoretical possibility but not one that was tested in our experimental study.

³Sentence-initial numeral phrases like yi-tiao-shayu ‘one-CL-shark’ typically require the existential predicate *you*. We return to this point in our discussion of Experiment 1 below.
the subject (‘one/a shark’) at LF. But the Isomorphic Principle prohibits this operation: raising the
object over the subject at LF would yield conflicting surface and LF scope relations. Assuming a
constraint such as the Isomorphic Principle, we therefore predict that (4) cannot describe a multiple
shark scenario. The Isomorphic Principle is absent in English, meaning that the English equivalent
of (4) can describe a multiple shark scenario, signaling the availability of inverse scope.

Given the Isomorphic Principle and the theoretical literature that informs it, we have a clear pre-
diction concerning the status of inverse scope in Chinese: it should not be allowed. Unfortunately,
much of the work ostensibly investigating this prediction fails to take into account the entailment
relations between surface and inverse scope interpretations (but see Lee, 1986, pg. 144). Whenever
an inverse scope reading entails the corresponding surface scope reading, testing the availability
of inverse scope fails: the scenario described by the inverse interpretation will always verify the
surface interpretation. Thus, intuitions confirming the possibility of a superficially inverse reading
in such a test can be explained by the surface reading alone. As we will see, the failure to consider
these entailment patterns between readings leaves us without empirical foundations for either a
prohibition on inverse scope or for its refutation.

In an attempt to empirically support the lack of inverse scope for doubly-quantified sentences
in Chinese, Zhou and Gao (2009) used an offline judgment task to test Chinese speakers on the
readings available for sentences such as (5).

(5) mei-ge-qiangdao dou qiang-le yi-ge-yinhang
every-CL-robber DOU rob-PERF one-CL-bank
‘Every robber robbed a bank.’

Given their subjects’ willingness to have sentences like (5) describe inverse scope scenarios (in
(5) such a scenario would have a single bank robbed), the authors conclude that inverse scope
interpretations are in fact available (though dispreferred) in Chinese. It should be clear by now that
Zhou and Gao’s conclusion does not follow from the results that they claim support it: the stimuli
used in their judgment task all feature a universally quantified subject (e.g., ‘every robber’ in (5))
linearly preceding an existentially quantified object (e.g., ‘one/a bank’ in (5)). This configuration
necessitates the inverse interpretation’s entailing of the surface one: whenever an inverse reading
is true a surface reading follows. In (5), if there is a single bank that every robber robbed, for every
robber there is a bank that s/he robbed; whether or not this bank is the same across the robberies is
irrelevant to the truth of the surface interpretation.

We are therefore left with uncertainty: in the theoretical literature on Chinese the general consensus
is that inverse scope is unavailable (stemming from Huang, 1982), but the only quantitative study
meant to empirically confirm or deny the availability of inverse scope in Chinese tests sentences

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4The authors also conducted an eye-tracking experiment, reaching the same conclusion that inverse scope is avail-
able in Chinese; see Zhou and Gao (2009) for the details of this study.
wherein ‘every’ scopes over ‘one/a’ at surface. This ‘every’ over ‘one/a’ configuration cannot positively identify inverse scope readings due to the entailment patterns between the resulting surface and inverse interpretations.\(^5\) The crucial test case of inverse scope in such doubly-quantified sentences should feature ‘one/a’ scoping over ‘every’ at surface (as in (4)); these are the sentences that we experimentally investigate in this paper.

As we show, Chinese speakers do not allow inverse interpretations for ‘one/a’ over ‘every’ configurations. We interpret these results as a demonstration that Chinese does not allow inverse scope in doubly-quantified sentences, a finding consistent with the Isomorphic Principle. To further confirm this claim of a prohibition on inverse scope in Chinese, we compare the results of Chinese speakers with those of American English, a language uncontroversially assumed to allow inverse scope (e.g., May, 1977). We begin with the study of Chinese in the next section.

2. Experiment 1: Chinese scope

To settle the conflict surrounding the availability of inverse scope in Chinese, we presented speakers of Mandarin with audio sentence-picture pairs featuring a doubly-quantified sentence and an image consistent with either the surface or the inverse interpretation of the sentence. Subjects provided truth-value judgments.\(^6\) Assuming the soundness of Zhou and Gao’s conclusion that inverse scope is available in Chinese (in contrast to the theoretical consensus that precedes it; e.g., Aoun and Li, 1989; Huang, 1982), we expect to find that subjects judge sentences as true when they describe a scenario consistent only with an inverse interpretation.

2.1. Participants

We recruited 40 subjects through a combination of email chains and advertisements on Chinese social media websites.

2.2. Stimuli

Stimuli consisted of 16 sentence-picture pairs. Sentences were recorded by an adult male native speaker of Chinese from Beijing and normed to ensure neutral intonation.\(^7\) Pictures came from the

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\(^5\) In fact, Hornstein (1995) goes so far as to say that only sentences in which \textit{a} precedes \textit{every} (but not sentences in which \textit{every} precedes \textit{a}) are truly ambiguous.

\(^6\) In a separate experiment we asked Chinese subjects (N=132) to provide felicity judgments on a 1 to 7 scale; see Tsai et al. (2014) for the details of this study.

\(^7\) We used neutral intonation in our audio stimuli to avoid possible prosodic cues for specific scope interpretations. However, even if the resulting intonation was not neutral in every case, Leddon et al. (2004) find that prosodic information does not provide reliable cues for disambiguating scope interpretations, at least in English.
Scope Fieldwork Project (http://udel.edu/bruening/scopeproject/scopeproject.html). The 8 critical items featured doubly-quantified transitive sentences with the quantifiers mei ‘every’ and yi ‘one/a’ in subject or object position.\(^8\)

We manipulated two factors: the first, ORDER, corresponds to whether ‘every’ precedes (EO) or follows (OE) ‘one/a’ at surface. The second factor, SCOPE, corresponds to whether the picture co-occurring with the sentence matches an INVERSE or SURFACE interpretation. An example item is given in Fig. 1. For reasons mentioned above concerning the entailment patterns between the INVERSE and SURFACE interpretations of EO sentences, only responses to the OE INVERSE condition provide a test of inverse scope.

\(^8\)The full list of items appears in Appendix B.
2.3. Design

Subjects took the experiment online using the web-based experiment platform ExperigenRT (Pillot et al., 2012; Becker and Levine, 2010). After filling out a short demographic questionnaire, subjects completed three training sequences to familiarize them with the experiment and to ensure that they could hear the sentences being played and read the Chinese instructions. The training also served to reinforce that the domain of quantification for a given sentence was depicted completely.

After training, each subject saw 16 sentence-picture pairs in a random order (8 critical items and 8 fillers). Subjects had to judge the sentence either TRUE or FALSE in the scenario displayed.

Only native speakers of Chinese (Mandarin) were included in the analysis. We evaluated nativeness on the basis of two demographic questions: What was the first language you learned? (Mandarin), and What is the language you speak most at home? (Mandarin). Data from 19 subjects was included in the analysis.

2.4. Results

Percentages of TRUE responses to each of the four conditions are given in Table 1. We fit a mixed logit model predicting response by ORDER and SCOPE, as well as their interaction; the model included random intercepts for subjects and items and random slopes for ORDER and SCOPE grouped by subject and item. We find a significant effect of SCOPE ($\chi^2(1) = 14.8, p<0.001$): INVERSE conditions received fewer TRUE responses than SURFACE. The OE INVERSE condition received no TRUE responses at all.

Table 1: Percent TRUE responses by condition for Experiment 1 (Chinese)

<table>
<thead>
<tr>
<th>ORDER</th>
<th>SCOPE</th>
<th>TRUE</th>
</tr>
</thead>
<tbody>
<tr>
<td>EO</td>
<td>SURF.</td>
<td>100%</td>
</tr>
<tr>
<td>OE</td>
<td>SURF.</td>
<td>76%</td>
</tr>
<tr>
<td>EO</td>
<td>INV.</td>
<td>25%</td>
</tr>
<tr>
<td>OE</td>
<td>INV.</td>
<td>0%</td>
</tr>
</tbody>
</table>

2.5. Discussion

Consistent with previous reports on inverse scope interpretations in Chinese, INVERSE conditions receive fewer TRUE responses than their SURFACE counterparts. We also see a trend wherein
Chinese speakers demonstrate a dispreference for ‘every’ in object position, which may be related to the fact that in Taiwanese, a closely related Chinese language widely spoken in Taiwan, definite expressions are degraded in the postverbal object position in certain constructions (James Huang, p.c.; see also Cheng et al., 1997; Teng, 1995, and references therein). In fact, when we split our results on the basis of whether subjects hail from Taiwan or mainland China, we see that the ORDER trend is driven primarily by speakers from Taiwan. For OE SURFACE conditions, mainland subjects judge the sentence true 80% of the time, whereas Taiwanese subjects judge the sentence true only 40% of the time.

Crucially, no subject judged the critical OE INVERSE condition as TRUE. Recall that this condition provides the unambiguous test case of the possibility for inverse scope, which means that no subject demonstrated the ability for inverse scope interpretations in Chinese. These results do not support the claim from Zhou and Gao (2009), who would predict a non-negligible proportion of TRUE responses to this condition. We therefore take these results to demonstrate that Chinese in fact does not allow inverse scope in doubly-quantified sentences, a finding consistent with much of the early literature on the topic.

Having found that Chinese does not allow inverse scope, we next ask why this should be the case. Here it bears noting two properties of the Chinese sentences we tested: the Chinese indefinite expression yi also doubles as the numeral ‘one’, and sentence-initial numeral phrases like yi-tiao-shayu ‘one/a shark’ require the predicate you to precede them. This requirement necessitates you at the beginning of the sentences in the OE conditions (Fig. 1). You ‘exist’ functions as an existential predicate elsewhere in Chinese. In fact, some analyses of you preceding the numeral ‘one’ (as in our OE stimuli) attribute to you the function of an existential main verb which takes an internal argument modified by a relative clause, hence participating in a bi-clausal structure (Fang and Lin, 2008; Fang, 2010; Huang, 1987; Li, 1990; Aoun and Li, 1989, fn. 3). Both properties – possible numeral semantics for the indefinite yi and sentence-initial you ‘exist’ – set the Chinese sentences apart from their English counterparts, and thus potentially contribute to the lack of inverse scope in Chinese. To further confirm the current finding that inverse scope is disallowed in Chinese, and to better understand the source of this prohibition, in Experiment 2 we test the same materials in a language uncontroversially claimed to allow inverse scope: English.

3. Experiment 2: English scope

We ran the English equivalent of Experiment 1 on native speakers of American English. To evaluate the possible contribution of numeral semantics and the bi-clausal structure associated with existentials to the Chinese prohibition on inverse scope, we split Experiment 2 into 4 sub-experiments: English sentences featured either indefinite a or the numeral one, and sentences with one preceding every optionally included existential there-be constructions. The goals were two-fold: to see how speakers of a language with inverse scope behave with our experimental items in the default case, and to see if the patterns with one instead of a or with an existential construction align with the pattern observed in Experiment 1 for Chinese.
3.1. Participants

We recruited 30 subjects through Amazon.com’s Mechanical Turk Crowdsourcing Service. Subjects were compensated for their participation.

3.2. Stimuli

Stimuli consisted of the 16 sentence-picture pairs from Experiment 1 plus 5 additional fillers. As before, two factors were manipulated: ORDER (one/a precedes, OE, or follows, EO, every), and INVERSE, corresponding to whether the co-occurring image matches a SURFACE or INVERSE interpretation of the sentence. Sentences were translations of the Chinese into one of four possible frames split on whether they feature indefinite a or the numeral one, and whether the OE construction is embedded under existential there-be. Example OE sentences, the possible translations of the Chinese OE sentence in Fig. 1, appear in (6).9

<table>
<thead>
<tr>
<th></th>
<th>Sub-experiment</th>
<th>Example OE sentence</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td>PLAIN</td>
<td>A shark attacked every pirate.</td>
</tr>
<tr>
<td>b.</td>
<td>ONE</td>
<td>One shark attacked every pirate.</td>
</tr>
<tr>
<td>c.</td>
<td>THERE</td>
<td>There is a shark that attacked every pirate.</td>
</tr>
<tr>
<td>d.</td>
<td>THERE+ONE</td>
<td>There is one shark that attacked every pirate.</td>
</tr>
</tbody>
</table>

An adult male native speaker of American English recorded all of the sentences. Recordings were normed to ensure neutral intonation.

3.3. Design

Experiment 2 employed the same design as Experiment 1: subjects took the experiment online using ExperigenRT. After filling out a short demographic questionnaire and completing three training sequences, each subject saw 21 sentence-picture pairs in a random order (8 critical items and 13 fillers). Subjects judged the sentences either TRUE or FALSE in the scenarios depicted.10 Only native speakers of English were included in the analysis; data from 30 subjects was analyzed.

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9A full list of items appears in Appendix A.

10As with Chinese in Experiment 1, we also ran the English study using 1 to 7 felicity ratings (N=130); see Tsai et al. (2014) for the details of this study.
3.4. Results

We split responses by sub-experiment; percent TRUE responses to each of the four conditions is given in Table 2.

Table 2: Percent TRUE responses by condition for Experiment 2 (English)

<table>
<thead>
<tr>
<th>ORDER</th>
<th>SCOPE</th>
<th>PLAIN</th>
<th>ONE</th>
<th>THERE</th>
<th>THERE+ONE</th>
</tr>
</thead>
<tbody>
<tr>
<td>EO</td>
<td>SURF.</td>
<td>93</td>
<td>100</td>
<td></td>
<td></td>
</tr>
<tr>
<td>OE</td>
<td>SURF.</td>
<td>100</td>
<td>85</td>
<td>87</td>
<td>92</td>
</tr>
<tr>
<td>EO</td>
<td>INV.</td>
<td>88</td>
<td>69</td>
<td></td>
<td></td>
</tr>
<tr>
<td>OE</td>
<td>INV.</td>
<td>56</td>
<td>28</td>
<td>50</td>
<td>11</td>
</tr>
</tbody>
</table>

We begin by analyzing responses to the PLAIN items, (6-a). We fit a mixed logit model predicting response by ORDER and SCOPE, as well as their interaction. We find a significant effect of effect of SCOPE ($\chi^2(1) = 5.50, p<0.05$) and an reliable interaction between ORDER and SCOPE ($\chi^2(1) = 8.14, p<0.01$): INVERSE conditions received fewer true responses than SURFACE, and the OE INVERSE condition received fewer TRUE responses than the other three conditions. A planned comparison between the English (PLAIN) and Chinese responses to the critical OE INVERSE conditions demonstrates that English speakers reliably provide more TRUE responses to this condition (0.0% Chinese vs. 56% English PLAIN; $\chi^2(1) = 8.78, p<0.01$).

Next, we analyze responses to the ONE items, (6-b). A mixed logit model predicting response by ORDER and SCOPE, as well as their interaction, reveals significant effects of both ORDER ($\chi^2(1) = 6.04, p<0.05$) and SCOPE ($\chi^2(1) = 6.26, p<0.05$): OE sentences received fewer TRUE responses than EO sentences, and INVERSE conditions received fewer TRUE responses than SURFACE. A planned comparison between the English (ONE) and Chinese responses to OE INVERSE conditions reveals a marginal effect of language such that English speakers provide more TRUE responses to this condition (0.0% Chinese vs. 28% English ONE; $\chi^2(1) = 3.16, p = 0.076$).

Turning to the THERE items, (6-c), the existential *there-be* construction only occurs in OE sentences where a precedes every at surface. We therefore have no ORDER manipulation within this sub-experiment. A mixed logit model predicting response by SCOPE reveals a significant effect of SCOPE ($\chi^2(1) = 3.97, p<0.05$): INVERSE conditions received fewer TRUE responses than SURFACE. A planned comparison between the English (THERE) and Chinese responses to OE INVERSE conditions reveals a significant effect of language such that English speakers provide more TRUE responses to this condition (0.0% Chinese vs. 50% English THERE; $\chi^2(1) = 4.29, p<0.05$).

As with the THERE items, there was no ORDER manipulation within the THERE+ONE sub-experiment: all sentences feature one linearly preceding every as in (6-c). A mixed logit model predicting re-
response by SCOPE reveals a significant effect of SCOPE ($\chi^2(1) = 17.9$, $p<0.001$): INVERSE conditions received fewer TRUE responses than SURFACE. A planned comparison between the English (THERE+ONE) and Chinese responses to OE INVERSE conditions reveals a marginal effect of language such that English speakers provide more TRUE responses to this condition (0.0% Chinese vs. 11% English THERE+ONE; $\chi^2(1) = 3.49$, $p = 0.062$).

3.5. Discussion

Consistent with previous findings on English scope (e.g., Anderson, 2004; Tunstall, 1998), subjects demonstrated a dispreference for inverse interpretations: OE INVERSE sentences were judged particularly poorly. However, whereas no Chinese subjects judged OE INVERSE trials true, in the corresponding English PLAINE condition, (6-a), subjects judged the sentence true 56% of the time. This 56% OE INVERSE acceptance rate indicates the availability of inverse scope, a feature absent in Chinese.\footnote{Anderson (2004) also finds a 50% acceptance rate for inverse scope in English, which means that our experimental design did not unduly depress the rate of acceptance.}

Crucially, planned comparisons between each of the four English OE INVERSE conditions and the corresponding Chinese condition demonstrate that in Chinese this prohibition does not emerge from numeral semantics or bi-clausal structure, but rather suggest a global prohibition on inverse scope such as the Isomorphic Principle (Aoun and Li, 1989; Huang, 1982).

Two other properties of the English data warrant further consideration. First, in the English ONE and THERE+ONE sub-experiments where the numeral one replaces indefinite a, (6-b,d), subjects were less willing to judge an INVERSE sentence true than they were with a: 56% (PLAIN) vs. 28% (ONE) and 11% (THERE+ONE). We might take this pattern to signal that numerals induce scope freezing and therefore resist inverse scope altogether, perhaps contributing to the ban on inverse scope in Chinese. However, ongoing work investigating the possibility of English inverse scope with other numerals shows that this freezing effect is specific to one and vanishes with higher numerals (inverse scope is easier to get in sentences like two sharks attacked every pirate than in one shark attacked every pirate). Another possibility is that in English one competes with a and engenders a specificity inference incompatible with inverse scope in OE sentences, i.e., incompatible with a situation in which one corresponds to many (Fig. 2): the use of one instead of a would therefore signal that only a single referent is intended.\footnote{Ionin et al. (2011) find a similar pattern for one vs. a indefinites: a is much more acceptable in multiple-referent scenarios.}

If one is generally interpreted as specific along the lines sketched above, then we expect this specificity effect also in object position: subjects should resist many-referent uses of one and thus more readily accept inverse scope when every precedes one as in our EO conditions. Consider the responses for the EO INVERSE items from the PLAIN and ONE sub-experiments, exemplified in (7) when describing the situation in Fig. 2.
A shark attacked every pirate.

One shark attacked every pirate.

Figure 2: An example of the multiple-shark scenario

(7) a. Every shark attacked a pirate.  
    (EO INVERSE PLAIN; 88% TRUE)  
    (EO INVERSE ONE; 69% TRUE)

Were *one* interpreted with a specificity inference not attributed to indefinite *a*, (7-b) should receive more TRUE responses than (7-a). But we find a trend in the opposite direction: *a* more readily admits a specific interpretation in object position. The specificity effect associated with numeral *one* therefore applies only when *one* linearly precedes *every*, i.e., when it appears in subject position, as in the OE items. Instead of triggering a specificity inference in the general case, we suggest a processing explanation along the lines of the Single Reference Principle (Kurtzman and MacDonald, 1993): listeners build an online parse of the sentences they hear; when they encounter *one* at the start of a sentence, they imagine just a single referent associated with it. This single-referent parse is incompatible with a one-as-many scenario as in Fig. 2, accounting for participants’ unwillingness to judge OE sentences with *one* as true in inverse, multi-referent scenarios. The Single Reference Principle is also active with *a* (accounting for the 56% acceptance rate for OE INVERSE sentences with *a*), but its effect is less strong, presumably because *one* is phonologically more salient than *a* so the pressure to build an initial, single-referent parse with *one* is more noticeable.

The second feature of note in our English results concerns the high acceptance rate for inverse scope across a relative clause boundary. In the THERE sub-experiment where OE sentences enter into a bi-clausal existential construction, (6-c), subjects were as likely to accept inverse scope as they were in the PLAIN version without the relative clause. The possibility of scope flexibility in the THERE items is consistent with previous judgments on similar extractions (cf. Aoun and Li, 2003) and therefore supports a head-raising analysis of these constructions (over an operator movement analysis; Bhatt, 2002; Bianchi, 2002; Zwart, 2000; Kayne, 1976; Vergnaud, 1974). Under a head-raising analysis, (8-a), the NP *shark* reconstructs into the embedded clause where it interacts
scopally with the other quantified expression (every pirate).

\[
(8) \quad \text{a. } [\text{DP a } [\text{NP shark } \text{CP } t_i \text{ [C' that [IP } t_i \text{ attacked every pirate]]}]]) \quad (\text{head-raising}) \\
\text{b. } [\text{DP a } [\text{NP shark } \text{CP Op } [\text{C' that [IP } t_i \text{ attacked every pirate]]}]]) \quad (\text{operator movement})
\]

Were one to adopt the analysis of English relative clauses in (8-b), the universal quantifier in the relative clause island would not be able to raise above the relative clause head, and the nominal head would not be able to reconstruct into the relative clause for interpretive purposes because it originates outside of the island. We return to this point in the following section, which concludes.

4. General discussion

We began with the consensus that Chinese does not allow inverse scope for doubly-quantified sentences (e.g., Aoun and Li, 1989, 2003; Huang, 1982; Lee, 1986; Huang, 1981, among others). But the status of Chinese inverse scope, both why and whether it is disallowed, has come under recent scrutiny. Zhou and Gao (2009) tested Chinese speakers on the interpretation of doubly-quantified sentences and conclude that despite its dispreferred status, an inverse scope interpretation is in fact available in Chinese. Their study and its results face a serious entailment problem, however, because their doubly-quantified test sentences all feature ‘every’ preceding ‘one/a’ as in (5). This configuration of quantifiers felicitously describes the supposed inverse interpretation solely on the basis of surface scope: if one bank was robbed by every robber then it is trivially the case that for every robber there is a bank that s/he robbed; one/a > every entails every > one/a. Hence Zhou and Gao’s conclusion that Chinese has inverse scope is not well-founded.

The crucial test case for inverse interpretations of doubly-quantified sentences features ‘one/a’ preceding ‘every’; in this configuration the inverse interpretation does not entail the surface one. We therefore tested the possibility of inverse interpretations for these sentences. In Experiment 1, none of our Chinese subjects ever accepted an inverse interpretation. We take this result to suggest that inverse scope is disallowed for doubly-quantified sentences in Chinese, contrary to Zhou and Gao’s claim.

To better understand why Chinese should prohibit inverse scope, in Experiment 2 we tested speakers of American English on translations of the materials from Experiment 1. Direct translations of the Chinese proved problematic, owing to two properties of the Chinese sentences that potentially drive the observed prohibition on inverse scope: numeral semantics for the indefinite numeral expression and bi-clausal structure contributed by an existential construction. In every case, English speakers reliably accepted inverse interpretations more often than Chinese speakers. To repeat: Chinese speakers never accepted an inverse interpretation.

Comparing the results of our two experiments, we see that the Chinese prohibition on inverse scope in doubly-quantified sentences cannot straightforwardly emerge from numeral semantics or
bi-clausal structure. Instead, the diverging pattern between each of our English paradigms and the paradigm in Chinese suggests a global prohibition on inverse scope such as the Isomorphic Principle (Huang, 1982; Aoun and Li, 1989).

Despite the consistency of our results with the Isomorphic Principle, we hesitate to conclude at this point that Chinese lacks inverse scope altogether. Aoun and Li (1989, 2003) suggest that passive sentences in Chinese may exhibit scope ambiguities; they give (9) and (10) as examples of such sentences (although native speakers’ judgments seem to vary):

(9) meige ren dou bei yi-ge nuren zhuazou le
    every man DOU by one-CL woman arrest PERF
    ‘Everyone was arrested by a woman.’

(10) yaoshi liang-ge xiansuo bei mei-ge-ren zhaodao . . .
     if two-CL clues by every-CL-person found
     ‘If two clues were found by everyone . . .’

Similarly, Jiang (2012) argues that Chinese numeral phrases can scope over the antecedent of a conditional, giving rise to a wide-scope (in addition to a narrow-scope) interpretation as in (11).

(11) ruguo ni neng dai yi-ge-nusheng lai wo-de party de-hua . . .
     if you can bring one-CL-girl come my party if
     ‘If you can bring one girl to my party . . .’

a. Wide scope: [one girl > if]

b. Narrow scope: [if > one girl] (modified from Jiang, 2012, pg. 154)

While we believe that further study is required to determine what readings are available and how they arise for these cases, note that (9) runs into the entailment problem familiar from our discussion of Zhou and Gao’s materials: if there is one woman who arrested everyone, then it is indeed the case that everyone was arrested by a woman. Therefore (9) does not evidence true inverse scope. Still, sentences with conditionals like (10) and (11) do appear to allow inverse interpretations; for this reason, we limit the scope of our claim to just doubly-quantified sentences in Chinese.

In addition to the findings related to Chinese scope, our investigation of English revealed two ancillary facts. First, the numeral *one* in subject (but not object) position yields a strong specificity inference inconsistent with multiple-referent scenarios. We attribute this position-specific specificity inference to a processing pressure such as the Single Reference Principle (Kurtzman and MacDonald, 1993). Second, English existential sentences featuring relative clauses readily admit inverse interpretations. This observed ability for scope-bearing elements to interact across
a relative clause boundary provides support for a head-raising analysis of these constructions under which the head may reconstruct to a position internal to the relative clause. This lends new support to the idea from Aoun and Li (2003) that such reconstruction is possible in English quantified expressions. In arguing for the head-raising analysis of relative clauses, Aoun and Li use the following examples (from Bianchi, 1999), where the ambiguity of (12-c) is relevant for our discussion. Our results show that the same analysis can apply to bi-clausal *there-be* sentences.

(12)  
   a. Every doctor will examine two patients. [every > 2, 2 > every]  
   b. Every doctor will examine the two patients. [2 > every]  
   c. I phoned the two patients that every doctor will examine tomorrow. [every > 2, 2 > every]

An outstanding question here deals with the variability we observe: half of the English speakers find bi-clausal sentences with relative clauses ambiguous, but the other half do not. There are two possibilities. First, some speakers are simply less apt to see scope ambiguities, which would account for the latter group (and also for the similar ambiguity acceptance rate for sentences without relative clauses; cf. Table 2). The second option posits two different grammars of relativization in English (Hulsey and Sauerland, 2006): one that employs an operator movement strategy under which an ambiguity is not generated, and head-raising that generates the ambiguity. Assuming that English relative clauses are structurally ambiguous, it is then possible that some speakers apply the head-raising analysis whereas other speakers do not. If this hypothesis is on the right track, our results provide novel support for the structural ambiguity brought out by Hulsey and Sauerland.

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References


**Appendix A: Experiment 2 items (English)**

<table>
<thead>
<tr>
<th>ITEM</th>
<th>SUB-EXPT.</th>
<th>ORDER</th>
<th>SENTENCE</th>
</tr>
</thead>
</table>
| 1    | PLAIN     | EO    | Every pirate is leaning on a barrel  
     | ONE      |       | Every pirate is leaning on one barrel |
|      | PLAIN     |       | A pirate is leaning on every barrel  
     | ONE      | OE    | One pirate is leaning on every barrel |
|      | THERE     |       | There is a pirate who is leaning on every barrel  
     | THERE+ONE| OE    | There is one pirate who is leaning on every barrel |
| 2    | PLAIN     | EO    | Every pirate caught a fish  
     |       |       | A pirate caught every fish |
| 3    | PLAIN     |       | Every pirate is holding a fishing pole  
     |       |       | A pirate is holding every fishing pole |
| 4    | PLAIN     |       | Every pirate fed a shark  
     |       |       | A pirate fed every shark |
| 5    | PLAIN     |       | Every pirate is holding a bottle  
     |       |       | A pirate is holding every bottle |
| 6    | PLAIN     |       | Every shark is biting a fish  
     |       |       | A shark is biting every fish |
| 7    | PLAIN     |       | Every shark attacked a pirate  
     |       |       | A shark attacked every pirate |
| 8    | PLAIN     |       | Every girl is petting a dog  
<pre><code> |       |       | A girl petted every dog |
</code></pre>
<table>
<thead>
<tr>
<th>ITEM</th>
<th>ORDER</th>
<th>SENTENCE</th>
</tr>
</thead>
</table>
| 1    | EO    | 每一个海盗都挨着一个木桶  
every-one-CL-pirate DOU lean-PROG one-CL-barrel |
|      | OE    | 有一个海盗挨着每一个木桶  
exist one-CL-pirate lean-PROG every-one-CL-barrel |
| 2    | EO    | 每一个海盗都钓走了一条鱼  
every-one-CL-pirate DOU catch-RES-PERF one-CL-fish |
|      | OE    | 有一个海盗钓走了每一条鱼  
exist one-CL-pirate catch-RES-PERF every-one-CL-fish |
| 3    | EO    | 每一个海盗都握着一支鱼竿  
every-one-CL-pirate DOU hold-PROG one-CL-fishing-pole |
|      | OE    | 有一个海盗握着每一支鱼竿  
have one-CL-pirate hold-PROG every-one-CL-fishing-pole |
| 4    | EO    | 每一个海盗都喂了一条鲨鱼  
every-one-CL-pirate DOU feed-PERF one-CL-shark |
|      | OE    | 有一个海盗喂了每一条鲨鱼  
have one-CL-pirate feed-PERF every-one-CL-shark |
| 5    | EO    | 每一个海盗都握着一瓶酒  
every-one-CL-pirate DOU hold-PROG one-CL-alcohol |
|      | OE    | 有一个海盗握着每一瓶酒  
have one-CL-pirate hold-PROG every-one-CL-alcohol |
| 6    | EO    | 每一条鲨鱼都咬住了一条鱼  
every-one-CL-shark DOU bite-RES-PERF one-CL-fish |
|      | OE    | 有一条鲨鱼咬住了每一条鱼  
have one-CL-shark bite-RES-PERF every-one-CL-fish |
| 7    | EO    | 每一条鲨鱼都攻击了一个海盗  
every-one-CL-shark DOU attack-PERF one-CL-pirate |
|      | OE    | 有一条鲨鱼攻击了每一个海盗  
have one-CL-shark attack-PERF every-one-CL-pirate |
| 8    | EO    | 每一个女孩都轻拍了一只狗几下  
every-one-CL-girl DOU light-pat-PERF one-CL-dog few-times |
|      | OE    | 有一个女孩轻拍了每一只狗几下  
have one-CL-girl light-pat-PERF every-one-CL-dog few-times |